IAP6 Rec'd PCT/PT0 25 AUG 2006 PTO-1390 (Rev. 07-2005)
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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER 42445.40037

| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |   |  |  |  |  |
|---|---|--|--|--|--|
|   | TIONAL APPLICATION NO.<br>EP2004/050224   | INTERNATIONAL FILING DATE<br>February 27, 2004 | PRIORITY DATE CLAIMED None             |  |  |
| TITLE OF INVENTION  |   |  |  |  |  |
| DEVICE FOR THE INSERTION OF DEFORMABLE INTRA-OCULAR LENSES  APPLICANT(S) FOR DO/EO/US  DEINZER, Klaus; KAMMERLANDER, Rene       |   |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |  |  |  |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |
| 2.  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |  |  |  |  |
| 3. 🗸  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |  |  |  |
| 4. 🗸  | The US has been elected (Article 31).   |  |  |  |  |
| 5. <b>V</b>   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |
|   | b. As been communicated by  | the International Bureau.                      |  |  |  |
|   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |
| 6. 【✔】  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |  |  |  |
|   | a. is attached hereto.  |  |  |  |  |
|   | •   | ted under 35 U.S.C. 154(d)(4).                 |  |  |  |
| 7. ☑  | Amendments to the claims of the Inte  | rnational Application under PCT Article 19     | (35 U.S.C. 371(c)(3))                  |  |  |
|   | a. are attached hereto (requir  | red only if not communicated by the Interna    | ational Bureau).                       |  |  |
| ÷   | b. have been communicated   | by the International Bureau.                   |  |  |  |
| •   | c. have not been made; howe   | ever, the time limit for making such amend     | ments has NOT expired.                 |  |  |
|   | d.  | vill not be made.                              |  |  |  |
| 8. 📙  | An English language translation of th   | e amendments to the claims under PCT A         | rticle 19 (35 U.S.C. 371(c)(3)).       |  |  |
| 9. 🗸  | An oath or declaration of the inventor  | • • •  |  |  |  |
| 10.   | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).  | e annexes of the International Preliminary     | Examination Report under PCT           |  |  |
| Items 11 to 20 below concern document(s) or information included:   |   |  |  |  |  |
| 11.   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                    |  |  |  |
| 12. 🗸   | An assignment document for recording  | g. A separate cover sheet in compliance v      | vith 37 CFR 3.28 and 3.31 is included. |  |  |
| 13. 🔽   | A preliminary amendment.  |  |  |  |  |
| 14.   | An Application Data Sheet under 37 C  | CFR 1.76.                                      |  |  |  |
| 15.   | A substitute specification.   |  |  |  |  |
| 16.   | A power of attorney and/or change of  | address letter.                                |  |  |  |
| 17.   | A computer-readable form of the sequ  | uence listing in accordance with PCT Rule      | 13ter.2 and 37 CFR 1.821- 1.825.       |  |  |
| 18.   | A second copy of the published Intern   | national Application under 35 U.S.C. 154(d     | )(4).                                  |  |  |
| 19.   | A second copy of the English languag  | ge translation of the international applicatio | on under 35 U.S.C. 154(d)(4).          |  |  |

IAP9 Rec'd PCT/PTO 25 AUG 20

hrough 3/31/2007. OMB 0651-0021

PTO-1390 (Rev. 07-2005)

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INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 42445,40037 Not yet assigned PCT/EP2004/050224 20. Other items or information: Copy of International Publication No. WO 2005/082284 A1 Copy of PCT/IB/308 (First Notice) Postcard, Filing mailed under Express Mail Label EV 721154338 US **CALCULATIONS** PTO USE ONLY The following fees have been submitted \$ 300.00 Basic national fee (37 CFR 1.492(a))......\$300 Examination fee (37 CFR 1.492(c)) \$ 200.00 If the written opinion prepared by ISA/US or the international preliminary examination report prepared Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 \$ 400.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations.....\$500 \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) x \$250 /50 = - 100 = Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). \$ NUMBER FILED NUMBER EXTRA RATE **CLAIMS** \$ \$ 50 Total claims 20 - 20 = 0 \$ \$200 - 3 = 0 Independent claims \$ \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) **TOTAL OF ABOVE CALCULATIONS =** \$ 900.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 940.00 Amount to be \$ 940.00 refunded: Amount to be \$ 940.00 charged

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| а. 🔲   |   |  |  |  |  |
| b. 🚺   | Please charge my Deposit Account No. 07-1850 in the a duplicate copy of this sheet is enclosed.   | amount of \$ 940.00 to cover the above fees. |  |  |  |
| c. 🗸   | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>07-1850</u> . A duplicate copy of this sheet is enclosed.                  |  |  |  |  |
| d. 🔲   | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |   |  |  |  |  |
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